

2- 6-02; 3:14PM; OCLIT. RUTGERS UNIV
02/05/02 11:27 FAX 6123387

SCHWEGMAN, LUNDBERG, WOLF

17324455470

2/ 3
002/003S/N 09/508,217IN THE UNITED STATES PATENT AND TRADEMARK OFFICEPATENT

Applicant: Kathryn E. Uhrich et al.

Examiner: Unknown

Serial No.: 09/508,217

Group Art Unit: Unknown

Filed: March 8, 2000

Docket: 1435.008US1

Title: POLYANHYDRIDES WITH THERAPEUTICALLY USEFUL
DEGRADATION PRODUCTSREVOCATION AND POWER OF ATTORNEYCommissioner for Patents
Washington, D.C. 20231

In accordance with 37 C.F.R. Section 1.36, M.P.E.P. Section 402.05 and 402.07, please
revoke any existing Powers of Attorney, if any, and appoint the following attorneys and/or patent
agents to prosecute this application and to transact all business in the Patent and Trademark
Office in connection therewith:

Anglin, J. Michael	Reg. No. 24,916	LeMoine, Dana B.	Reg. No. 40,062
Aronoff, Marvin S.	Reg. No. 35,052	Lundberg, Steven W.	Reg. No. 30,568
Aroza, Suneel	Reg. No. 42,267	Maki, Peter C.	Reg. No. 42,832
Beckman, Marvin L.	Reg. No. 38,377	Malen, Peter L.	Reg. No. 44,894
Berdie, Raymond R.	Reg. No. P-50,769	Matcs, Robert E.	Reg. No. 35,271
Bianchi, Timothy E.	Reg. No. 39,610	McCrackin, Ann M.	Reg. No. 42,858
Billion, Richard E.	Reg. No. 32,836	McTavish, Hugh E.	Reg. No. 48,341
Black, David W.	Reg. No. 42,331	Mehrle, Joseph P.	Reg. No. 45,535
Brennan, Thomas P.	Reg. No. 35,075	Moore, Charles L., Jr.	Reg. No. 33,742
Brooks, Edward J., III	Reg. No. 40,925	Muller, Mark V.	Reg. No. 37,509
Chadwick, Robin A.	Reg. No. 36,477	Nama, Kash	Reg. No. 44,255
Clark, Barbara J.	Reg. No. 38,107	Nelson, Albin J.	Reg. No. 28,650
Clise, Timothy B.	Reg. No. 40,957	Nicholson, Lea A.	Reg. No. 48,346
Cochran, David R.	Reg. No. 46,632	Nielson, Walter W.	Reg. No. 25,539
Dahl, John M.	Reg. No. 44,639	Padys, Danny J.	Reg. No. 35,635
Drake, Eduardo E.	Reg. No. 40,594	Parker, J. Kevin	Reg. No. 33,024
Embreton, Janet E.	Reg. No. 39,665	Pardok, Monique M.	Reg. No. 42,989
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Greaves, John N.	Reg. No. 40,362	Schwegman, Michael L.	Reg. No. 25,816
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Harris, Robert J.	Reg. No. 37,346	Smith, Michael G.	Reg. No. 45,368
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Klima-Silberg, Catherine I.	Reg. No. 40,052	Terry, Kathleen R.	Reg. No. 31,884
Kluth, Daniel J.	Reg. No. 32,146	Tong, Viet V.	Reg. No. 45,416
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SCHWEGMAN, LUNDBERG, WO

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REVOCATION AND POWER OF ATTORNEY

Serial Number: 09/508,217

Filing Date: March 8, 2000

Title: POLYANHYDRIDES WITH THERAPEUTICALLY USEFUL DEGRADATION PRODUCTS

Page 2

Dkt: 1435.003US1

Please direct all correspondence in this case to:

Schwegman, Lundberg, Woessner & Kluth, P.A.

P.O. Box 2938

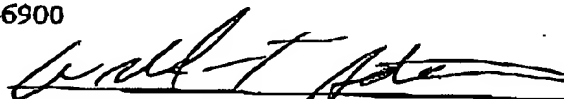
Minneapolis, MN 55402

Telephone No. (612)373-6900

Date

2/6/02

By



William T. Adams, Director

UNITED STATES
PATENT AND
TRADEMARK OFFICE

01435-008US1

NOVEMBER 30, 2001

PTAS

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH PA
ROBERT J. HARRIS
P.O. BOX 2938
MINNEAPOLIS, MN 55402Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
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101865287A

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RECORDATION DATE: 09/28/2001

REEL/FRAME: 012195/0352
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

UHRICH, KATHRYN E.

DOC DATE: 03/22/2001

ASSIGNOR:

MACEDO, BRAZ

DOC DATE: 03/22/2001

ASSIGNEE:

RUTGERS, THE STATE UNIVERSITY OF
NEW JERSEY
SOMERSET AND GEORGE STREETS
OLD QUEENS BUILDING
NEW BRUNSWICK, NEW JERSEY 08903SERIAL NUMBER: 09508217
PATENT NUMBER:FILING DATE:
ISSUE DATE:SERIAL NUMBER: 09455861
PATENT NUMBER:
PCT NUMBER: US9818816FILING DATE: 12/07/1999
ISSUE DATE:

CMG RECEIVED

DEC 12 2001

Schwegman, Lundberg
Woessner & Kluth, P.A.RECEIVED
NOV 29 2001

DEC 10 2001

012195/0352 PAGE 2

SERIAL NUMBER: 09732516
PATENT NUMBER:

FILING DATE: 12/07/2000
ISSUE DATE:

SERIAL NUMBER: 09455861
PATENT NUMBER:
PCT NUMBER: US9818816

FILING DATE: 12/07/1999
ISSUE DATE:

SERIAL NUMBER:
PATENT NUMBER:
PCT NUMBER: US0033378

FILING DATE:
ISSUE DATE:

PEARLENE FOSTER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

FORM PTO-1594
01-10-96:CMGRECORDATION FORM COVER SHEET
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Atty Ref/Docket No.: 1435.008US1, 008WO1, 1435.013US1, 013WO1 and 1435.016US1

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kathryn E. Uhrich and Braz Macedo

2. Name and address of receiving party(ies):

Name: Rutgers, The State University of New Jersey

Street Address: Old Queens Building
Somerset and George Streets

Additional name(s) of conveying party(ies) attached? [] Yes [X] No

3. Nature of conveyance:

[X] Assignment

[] Merger

[] Security Agreement

[] Change of Name

[] Other _____

City: New Brunswick State: NJ ZIP: 08903

Additional name(s) & address(es) attached? [] Yes [X] No

Execution Date: March 22, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

Serial No. 09/508,217, filed March 8, 2000
Serial No. 09/455,801, filed December 7, 1999
Serial No. 09/732,516, filed December 7, 2000
Serial No. PCT/US/00/33378, filed December 7, 2000
Serial No. PCT/US98/18816, filed September 10, 1998

B. Patent No.(s)

SEE ATTACHED SCHEDULE A

10-04-2001



101865287

Additional numbers attached? [] Yes [X] No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert J. Harris, Ph.D.

Address: Schwegman, Lundberg, Woessner & Kluch, P.A.
P.O. Box 2938
Minneapolis, MN 55402

6. Total number of applications and patents involved: 5

7. Total fee (37 CFR 3.41): \$ 200.00

[X] Enclosed

[] Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our
Deposit account number: 19-0743

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert J. Harris, Ph.D./Reg. No. 37,346

Name of Person Signing

Signature

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Exhibit B: Form of Recordable Assignment, United States PageBI**UNITED STATES ASSIGNMENT OF PATENTS**

WHEREAS, University of Medicine and Dentistry of New Jersey ("Assignor"), having its statewide Office of Legal Management/Patents and Licensing UMDNJ at Liberty Plaza-335 George Street, Suite 3200, New Brunswick, New Jersey 08901, is the owner of an undivided joint right, title and interest in and to the United States Patents and United States Patent Application(s) listed in the attached Schedule A;

WHEREAS, Rutgers, The State University, having its statewide Office of Corporate Liaison and Technology Transfer at 58 Bevier Road, Piscataway, New Jersey 08854-80 10 ("Assignee"), also has an undivided joint right, title and interest in and to the United States Patents and United States Patent Application(s) listed in the attached Schedule A;

AND WHEREAS, Assignee is desirous of acquiring the entire right, title and interest in and to the United States Patents and United States Patent Application(s) listed in the attached Schedule A;

NOW THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, Assignor does hereby assign and transfer to Assignee and its successors and assigns, its entire right, title and interest in and to the above-identified patents, including all reissues, divisions, continuations, continuation-in-part, and extensions and foreign counterparts thereof, to be held and enjoyed by Assignee as fully and entirely as it would have been held and enjoyed by Assignor if this assignment had not been made, including all claims, demands and right recovery that Assignor has or may have in profits and damages for past and future infringements, if any, and all rights to compromise, sue for, and collect such profits and damages.

In the event of any inconsistency between this Assignment and the terms of the letter agreement ("Agreement") on this subject matter, dated as of 3/22/01, between Assignor and Assignee, the terms of the Agreement shall be controlling.

University of Medicine and Dentistry of New Jersey

Name: L.M. Stephenson L. Stephenson

Title: V.P. / Research

Date: 3/22/01

STATE OF NEW JERSEY

SS:

COUNTY OF MIDDLESEX

On this 22 day of March 2001 before me appeared L.M. Stephenson the person who signed this instrument and acknowledged that he signed it as a free act on behalf of University of Medicine and Dentistry of New Jersey.

(Seal)

Acquanetta Slater
Notary Public

Exhibit B: Form of Recordable Assignment, United States Page B2**SCHEDULE A**

<u>Title</u>	<u>Application Type</u>	<u>Filing Date</u>	<u>Serial No.</u>
"Polyanhydrides with Therapeutically Useful Degradation Products"	US	03/08/00	09/508,217
	PCT	09/10/98	PCT/US98/18816
"Therapeutic Compositions and Methods"	US	12/07/99	09/455,861
	US	12/07/00	09/732,516
	PCT	12/07/00	US/00/33378

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE


Applicant: Kathryn E. Uhrich et al.

Docket No.: 1435.008US1
1435.008WO1
1435.013US1
1435.013WO1
1435.016US1Serial No.: 09/508,217
PCT/US98/18816
09/455,861
PCT/US/00/33378
09/732/516Attn: Box Assignment
Hon. Commissioner for Patents and Trademarks
Washington, D.C. 20231

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- ☒ Exhibit B: Form of Recordable Assignment, United States Page B1
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Please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)By: 
Name: Robert J. Harris, Ph.D.
Reg. No. 37,346
RJH:CMG:sc

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Signature

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In re application of Kathryn E. Uhrich et al.

Serial Nos. 09/508,217; PCT/US98/18816; 09/455,861; 09/732,516 & US/00/33378

CONTENTS: Exhibit B: form of Recordable Assignment, United States Page B2 (1 pgs.), Recordation Form Cover Sheet (1 pg.), check in the amount of \$200.00 which represents the Assignment Recordation Fee, and transmittal document (1 pg.).

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Docket: 1435.008US1; 1435.008WO1; 1435.013US1
1435.013WO1; 1435.016US1

Mailed: September 21, 2001

Due date: N/A

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Daniel J. Kluth

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

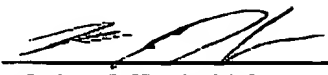
Applicant: Kathryn E. Uhrich et al.

Docket No.: 1435.008US1
1435.008WO1
1435.013US1
1435.013WO1
1435.016US1Serial No.: 09/508,217
PCT/US98/18816
09/455,861
PCT/US/00/33378
09/732/516Attn: Box Assignment
Hon. Commissioner for Patents and Trademarks
Washington, D.C. 20231

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Please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)By: 
Name: Robert J. Harris, Ph.D.
Reg. No. 37,346
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Name

Signature

FORM PTO-1594
01-10-96:CMGRECORDATION FORM COVER SHEET
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Patent and Trademark Office

Atty Ref/Docket No.: 1435.008US1, 008WO1, 1435.013US1, 013WO1 and 1435.016US1

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kathryn E. Uhrich and Braz Macedo

2. Name and address of receiving party(ies):

Name: Rutgers, The State University of New Jersey

Additional name(s) of conveying party(ies) attached? [] Yes [X] No

Street Address: Old Queens Building
Somerset and George Streets

3. Nature of conveyance:

☒ Assignment☐ Merger

City: New Brunswick State: NJ ZIP: 08903

☐ Security Agreement☐ Change of Name☐ Other _____

Additional name(s) & address(es) attached? [] Yes [X] No

Execution Date: March 22, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

SEE ATTACHED SCHEDULE A

Serial No. 09/508,217, filed March 8, 2000

Serial No. 09/455,861, filed December 7, 1999

Serial No. 09/732,516, filed December 7, 2000

Serial No. PCT/US/00/33378, filed December 7, 2000

Serial No. PCT/US98/18816, filed September 10, 1998

Additional numbers attached? [] Yes [X] No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert J. Harris, Ph.D.

Address: Schwegman, Lundberg, Wessner & Kluth, P.A.
P.O. Box 2938
Minneapolis, MN 55402

6. Total number of applications and patents involved: 5

7. Total fee (37 CFR 3.41): \$ 200.00

☒ Enclosed☐ Authorized to be charged to deposit account8. Please charge any additional fees or credit any overpayments to our
Deposit account number: 19-0743

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert J. Harris, Ph.D./Reg. No. 37,346

Name of Person Signing

Signature

Date

Total number of pages including cover sheet: 3

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WHEREAS, Rutgers, The State University, having its statewide Office of Corporate Liaison and Technology Transfer at 58 Beaver Road, Piscataway, New Jersey 08854-80 10 ("Assignee"), also has an undivided joint right, title and interest in and to the United States Patents and United States Patent Application(s) listed in the attached Schedule A;

AND WHEREAS, Assignee is desirous of acquiring the entire right, title and interest in and to the United States Patents and United States Patent Application(s) listed in the attached Schedule A;

NOW THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, Assignor does hereby assign and transfer to Assignee and its successors and assigns, its entire right, title and interest in and to the above-identified patents, including all reissues, divisions, continuations, continuation-in-part, and extensions and foreign counterparts thereof, to be held and enjoyed by Assignee as fully and entirely as it would have been held and enjoyed by Assignor if this assignment had not been made, including all claims, demands and right recovery that Assignor has or may have in profits and damages for past and future infringements, if any, and all rights to compromise, sue for, and collect such profits and damages.

In the event of any inconsistency between this Assignment and the terms of the letter agreement ("Agreement") on this subject matter, dated as of 3/22/01, between Assignor and Assignee, the terms of the Agreement shall be controlling.

University of Medicine and Dentistry of New Jersey

Name: L.M. Stephenson L. Stephenson

Title: V.P. / Research

Date: 3/22/01

STATE OF NEW JERSEY

SS:

COUNTY OF MIDDLESEX

On this 22 day of March 2001 before me appeared L.M. Stephenson the person who signed this instrument and acknowledged that he signed it as a free act on behalf of University of Medicine and Dentistry of New Jersey.

(Seal)

Acquanetta Slater
Notary Public

Exhibit B: Form of Recordable Assignment, United States Page B2**SCHEDULE A**

<u>Title</u>	<u>Application Type</u>	<u>Filing Date</u>	<u>Serial No.</u>
"Polyanhydrides with Therapeutically Useful Degradation Products"	US	03/08/00	09/508,217
	PCT	09/10/98	PCT/US98/18816
"Therapeutic Compositions and Methods"	US	12/07/99	09/455,861
	US	12/07/00	09/732,516
	PCT	12/07/00	US/00/33378

3- 7-00:12:01PM:OCLTT. RUTGERS UNIV
03/08/00 MON 11:14 FAX 8568101454

LAW OFFICES

:7324456870

2/ 3

002

Page 1 of 2

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) AND 1.27 (d)) - NONPROFIT ORGANIZATION**Docket No.
RU-0092

Serial No. PCT/US98/18816	Filing Date September 10, 1998	Patent No.	Issue Date
------------------------------	-----------------------------------	------------	------------

Applicant/ **UHRICH, Kathryn**
Patentee:Invention: **POLYANHYDRIDES WITH THERAPEUTICALLY USEFUL DEGRADATION PRODUCTS**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: Rutgers, The State University of New JerseyADDRESS OF ORGANIZATION: Old Queens Building
Somerset and George Streets
New Brunswick, New Jersey 08903**TYPE OF NONPROFIT ORGANIZATION:**

- ☒ University or other Institute of Higher Education
- ☐ Tax Exempt under Internal Revenue Service Code (26 U.S.C. 501(a) and 501(c)(3))
- ☐ Nonprofit Scientific or Educational under Statute of State of The United States of America
Name of State: _____ Citation of Statute: _____
- ☐ Would Qualify as Tax Exempt under Internal Revenue Service Code (26 U.S.C. 501(a) and 501(c)(3)) if Located in The United States of America
- ☐ Would Qualify as Nonprofit Scientific or Educational under Statute of State of The United States of America if Located in The United States of America
Name of State: _____ Citation of Statute: _____

I hereby declare that the above-identified nonprofit organization qualifies as a nonprofit organization as defined in 37 C.F.R. 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:

- ☐ the specification to be filed herewith.
- ☒ the application identified above.
- ☐ the patent identified above.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the above-identified nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed on the next page and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

3- 7-00:12:01PM:OCLTT. RUTGERS UNIV
03/08/00 MON 11:14 FAX 8568101454

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:7324455870

3/ 3
003

Page 2 of 2

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern or organization exists.
☐ each such person, concern or organization is listed below.

FULL NAME
ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME
ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME
ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME
ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

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